

# Neonatal Outcomes of Very Low Birth Weight and Very Preterm Neonates: An International Comparison

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**Objective** To compare rates of a composite outcome of mortality or major morbidity in very-preterm/very low birth weight infants between 8 members of the International Network for Evaluating Outcomes.

**Study design** We included 58 004 infants born weighing <1500 g at 24<sup>0</sup>–31<sup>6</sup> weeks' gestation from databases in Australia/New Zealand, Canada, Israel, Japan, Spain, Sweden, Switzerland, and the United Kingdom. We compared a composite outcome (mortality or any of grade  $\geq 3$  peri-intraventricular hemorrhage, periventricular echodensity/echolucency, bronchopulmonary dysplasia, or treated retinopathy of prematurity) between each country and all others by using standardized ratios and pairwise using logistic regression analyses.

**Results** Despite differences in population coverage, included neonates were similar at baseline. Composite outcome rates varied from 26% to 42%. The overall mortality rate before discharge was 10% (range: 5% [Japan]–17% [Spain]). The standardized ratio (99% CIs) estimates for the composite outcome were significantly greater for Spain 1.09 (1.04–1.14) and the United Kingdom 1.16 (1.11–1.21), lower for Australia/New Zealand 0.93 (0.89–0.97), Japan 0.89 (0.86–0.93), Sweden 0.81 (0.73–0.90), and Switzerland 0.77 (0.69–0.87), and nonsignificant for Canada 1.04 (0.99–1.09) and Israel 1.00 (0.93–1.07). The adjusted odds of the composite outcome varied significantly in pairwise comparisons.

**Conclusions** We identified marked variations in neonatal outcomes between countries. Further collaboration and exploration is needed to reduce variations in population coverage, data collection, and case definitions. The goal would be to identify care practices and health care organizational factors, which has the potential to improve neonatal outcomes. (*J Pediatr* 2016; ■: ■–■).

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Infants born very preterm (<32 weeks' gestation) and very low birth weight (birth weight <1500 g) are at an increased risk of mortality and multiple morbidities.<sup>1</sup> In high-resourced countries, complications of preterm birth are the leading cause of mortality in children younger than 5.<sup>2</sup> The need to continually improve the care of these infants has led to the establishment of national, population-based and academic/open-membership initiatives to benchmark, identify trends,<sup>3–12</sup> and improve neonatal outcomes, with variable success.<sup>4,13–18</sup>

Understanding international variations in outcomes is very important because all countries aim to provide the best possible health care to their residents without significant impact on budget or other initiatives.<sup>19</sup> This idea underpins the premise that medicine is universal and, thus, advances in biomedical research should span borders and yield similar results regardless of the organization of health care. Potential threats to this concept include the role of quality of care, health care organization, and access to health care. Identifying outcome variations in very preterm/very low birth weight infants across countries can provide impetus for identifying areas of improvement for each country. The International Network for Evaluating Outcomes (iNeo) of Neonates is a multinational

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†List of additional investigators of iNeo of Neonates is available at [www.jpeds.com](http://www.jpeds.com) (Appendix 1).

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iNeo	International Network for Evaluating Outcomes
NEC	Necrotizing enterocolitis
SR	Standardized ratio
UKNC	United Kingdom Neonatal Collaborative

collaboration between 9 high-resource countries, including the Australian and New Zealand Neonatal Network,<sup>3</sup> Canadian Neonatal Network,<sup>9</sup> Israel Neonatal Network,<sup>20</sup> Neonatal Research Network of Japan,<sup>8</sup> Spanish Neonatal Network,<sup>10</sup> Swedish Neonatal Quality Register,<sup>5</sup> Swiss Neonatal Network,<sup>6</sup> and United Kingdom Neonatal Collaborative (UKNC).<sup>11</sup> The structure, design, and overall objectives of iNeo of Neonates have been reported elsewhere.<sup>21</sup> Our objective was to compare rates of a composite outcome of mortality or major morbidity in very preterm/very low birth weight infants between the iNeo of Neonates members.

## Methods

This retrospective cohort study included infants born weighing <1500 g at 24<sup>0</sup> to 31<sup>6</sup> weeks' gestation and admitted to the contributing neonatal units of participating countries during 2007-2010 (2008-2010 for the UKNC). We excluded infants born at <24 weeks' gestation because culture, practices, and guidelines<sup>22,23</sup> concerning resuscitation differed at lower gestational ages, which was reflected in widely differing rates of neonates born at <24 weeks' gestation admitted to networks. This was a post-hoc deviation from protocol. We excluded neonates born weighing  $\geq 1500$  g/at  $\geq 32$  weeks' gestation because some networks did not collect data on such infants, neonates with major congenital anomalies,<sup>24</sup> those admitted after 36 weeks' postmenstrual age, and those who died in the delivery room without receiving resuscitation.

Data on infant characteristics and outcomes for this study were extracted by participating networks from their existing databases according to predetermined definitions.<sup>21</sup> For most networks, data for defined data elements were either collected from patient records by designated abstractors according to network policies and sent to coordinating centers or entered directly into a central online database by the participating neonatal units. UKNC data were obtained from the National Neonatal Research Database managed by the Neonatal Data Analysis Unit, which contains a predefined extract from the Electronic Patient Record used in UK neonatal units regardless of designation and is updated quarterly. All iNeo of Neonates collaborators obtained research ethics approval for their primary data collection. For the purpose of iNeo of Neonates, separate data-sharing agreements were obtained from the Executive Committees of each network and the iNeo of Neonates Coordinating Centre.

**Table 1** presents an overview of the organization of perinatal-neonatal health care services obtained by surveying directors of the databases and publicly available perinatal information from country's vital statistics. There were variations in how health services are organized, especially in the United Kingdom, where neonatal services are organized in a networked basis with infants moving to higher or lower designation units according to clinical need.

## Outcomes

We defined our primary composite outcome as mortality (all cause after neonatal unit admission until discharge or trans-

fer) or any of grade  $\geq 3$  peri-intraventricular hemorrhage,<sup>25</sup> persistent periventricular echodensity/echolucency; bronchopulmonary dysplasia, defined as infants receiving oxygen at 36 weeks postmenstrual age<sup>26</sup>; or retinopathy of prematurity<sup>27</sup> requiring treatment by laser or cryotherapy. Necrotizing enterocolitis (NEC) was included in the composite outcome in the protocol but was later excluded because data from one of the networks were not available.

## Covariate Definitions

Gestational age was determined by the best estimate based on early prenatal ultrasound, last menstrual period, or physical examination of infants at birth, in that order. Prenatal steroid use was defined as any administration before birth, regardless of the time interval. Birth weight z scores were calculated relative to population- and sex-specific birth weight for gestational age references selected by each network as most appropriate for the comparison.

With respect to specific practices, the majority of women in the participating countries (>90%) received prenatal care. Resuscitation and management of infants at each site was according to local unit guidelines. No data were available regarding artificial reproductive technology. None of the neonates included in the study period received injection treatment for retinopathy. The frequency of head ultrasound examination, eye examination, threshold stage of retinopathy used for treatment, and oxygen saturation targets were according to local guidelines and not available for comparison.

## Statistical Analyses

Infant characteristics were summarized and compared by the use of either the Pearson  $\chi^2$  test or the ANOVA F test for categorical variables and continuous measures, respectively. Standardized ratios (SRs) were computed by use of the "indirect standardization" approach.<sup>28</sup> For each country, the SR was calculated as the observed number of infants with the composite outcome divided by the number of infants expected to develop this outcome, computed as the sum of predicted probabilities from a multivariable logistic regression model, with adjustment for gestational age (linear), birth weight z score (linear and quadratic), multiple birth, sex, antenatal steroids, cesarean delivery, and the interaction between birth weight z score and multiple birth, derived with the use of data from all other countries. SR estimates were displayed graphically to identify countries with outcome rates above and below the average rate of all others at the 99% confidence level. Because the SR estimate is calculated in relation to all other countries combined, it is not directly comparable between contributors.<sup>29</sup>

Using multivariable logistic regression including country-specific fixed effects, we compared the composite outcome for all countries simultaneously (using same variables as mentioned previously except cesarean delivery; variables were selected based on  $P < .1$  in univariate analyses). Hosmer-Lemeshow test and c-statistic were used to check model fit. aORs were estimated for all possible pair-wise comparisons. We evaluated statistical significance by

**Table I.** Organizational characteristics of perinatal-neonatal health care services in the 8 iNeo of Neonates contributors

Organizational characteristics	ANZNN		CNN	INN	NRNJ	SEN1500	SNQ	SwissNeoNet	UKNC
	Australia	New Zealand							
Number of neonatal units in the country providing tertiary neonatal care during study period	23	6	28	23	93	50	7	9	179
Number of tertiary units from which data are included in this collaboration	23	6	28	23	61	50	7	9	34
Number of units from whom data are included in this collaboration (including step-down units)*	23	6	28	27	73	61	28	12	104
Number of total births in the country/y	300 000	60 000	380 863	166 000	1 071 304	497 023	110 000	80 000	687 000
Definition of live birth	>20 wk and 400 g	>20 wk and 400 g	No lower limit	No lower limit	≥22 wk	≥23 wk	≥22 wk	≥22 wk	No lower limit
Proportion of pregnant women who attended antenatal care before 20 weeks' gestation	>90	>90	>90	>90	>90	>90	>90	>90	>90
Proportion of pregnant women who get early ultrasound examinations to estimate gestation	75-95	50-75	75-95	75-95	>95	75-95	>95	>95	75-95
National guidelines for antenatal maternal transfers	<33 wk	<34 wk	<32 wk	No	<34 wk	<32 wk	Regional, No national	<32 wk	<28 wk and as indicated
Designated neonatal transport teams	Yes	Yes	Yes	No	No	Yes	Yes	Yes	Yes
Percentage of infants managed exclusively at "step-down" neonatal units	<10	<10	10-50	<10	10-50	None	10-50	<10	10-50
Percentage of infants retro- transferred to "step-down" neonatal units	10-50	10-50	10-50	<10	<10	10-50	>75	10-50	10-50
Delivery room deaths recorded in database	No	No	Partial	Yes	Yes	Yes	Yes	Yes	Partial

ANZNN, Australian and New Zealand Neonatal Network; CNN, Canadian Neonatal Network; INN, Israel Neonatal Network; NRNJ, Neonatal Research Network of Japan; SEN1500, Spanish Neonatal Network; SNQ, Swedish Neonatal Quality Register; SwissNeoNet, Swiss Neonatal Network.

\*The number of units included in these data may be higher or lower based on how many tertiary units contributed to data and how many step-down units contributed to data.

applying a stringent Bonferroni multiple testing adjustment to account for 28 independent pairwise comparisons with a significance threshold of  $P < .0018$  (corresponding to 99.8% CI for OR estimates). Missing data were not imputed. Data management and all statistical analyses were performed at the iNeo of Neonates Coordinating Centre in Toronto, Toronto, Canada with SAS version 9.2 (SAS Institute, Inc, Cary, North Carolina) and R version 2.2.

## Results

Of the registered total 75 578 neonates in these databases, after exclusions, the final study sample comprised 58 004 (77%) of the 75 578 very low birth weight infants (Table II). Infant characteristics are presented in Table III, with significant differences detected between countries for all characteristics except sex. Notably, the rate of multiple births was greatest in Israel (42%) and lowest in Japan (24%), which also had the lowest rate of antenatal steroid use (49%). The rate of cesarean births was greatest in Switzerland (84%) and lowest in the UKNC (47%). Missing data for each characteristic are reported in Table IV (available at [www.jpeds.com](http://www.jpeds.com)).

The composite outcome rate varied from 26% to 42% between countries (Table III). Unadjusted analyses of the individual components of the composite outcome showed that the mortality rate was 5% in Japan; between 6% and 10% in Canada, Australia/New Zealand, Sweden, Switzerland, and the UKNC; 14% in Israel; and 17% in Spain. Rates of bronchopulmonary dysplasia were  $\leq 15\%$  in Israel, Spain, and Switzerland; 32% in the UKNC; and between 16% and 25% in the remaining countries. The rate of neurologic injury was  $>10\%$  for Canada, Israel, and Spain but  $<10\%$  in the remaining countries. The rate of

treated retinopathy was lowest in Switzerland and the UKNC (2%) and greatest in Japan (16%).

The estimated SR and 99% CI for the composite outcome comparing each country to all other countries was significantly greater in Spain (SR 1.09; 99% CI 1.04-1.14) and the UKNC (SR 1.16; 99% CI 1.11-1.21); lower in Australia/New Zealand (SR 0.93; 99% CI 0.89-0.97), Japan (SR 0.89; 99% CI 0.86-0.93), Sweden (SR 0.81; 99% CI 0.73-0.90), and Switzerland (SR 0.77; 99% CI 0.69-0.87); and nonsignificant for Canada (SR 1.04; 99% CI 0.99-1.09) and Israel (SR 1.00; 99% CI 0.93-1.07) (Figure).

Results of the multivariable logistic regression analyses confirmed variation in the composite outcome across countries (overall likelihood ratio test  $P < .0001$ ). Pairwise comparisons revealed that the UKNC had greater odds of the composite outcome than each of the other countries and that Spain had greater odds compared with all other countries except the UKNC and Canada (Table V). In contrast, the odds of the composite outcome were lowest for Sweden and Switzerland. Model characteristics are reported in Table VI (available at [www.jpeds.com](http://www.jpeds.com)).

## Discussion

In this large, multicenter, multinational cohort of very preterm and very low birth weight infants born weighing  $<1500$  g at 24<sup>0</sup> to 31<sup>6</sup> weeks' gestation, we identified marked variation in the composite outcome, as well as mortality and each morbidity across countries. For example, Japan had the lowest mortality but the greatest rate of treatment for retinopathy of prematurity, whereas Spain had the greatest mortality but a relatively lower rate of bronchopulmonary dysplasia. These variations could be the result of differences in population coverage, organization of perinatal health care delivery,

**Table II.** Study population of infants born weighing  $<1500$  g at 24<sup>0</sup>-31<sup>6</sup> weeks' gestation from the 8 iNeo of Neonates contributors

	ANZNN*	CNN	INN	NRNJ	SEN1500	SNQ	SwissNeoNet	UKNC*	Total
Population coverage (estimated from national birth data) <sup>†</sup>									
For 24 <sup>0</sup> -31 <sup>6</sup> weeks' gestation, %	89.2	84.6	77.9	43.9	53.5	83.5	95.8	59.7	
For $<1500$ g birth weight, %	77.9	72.7	97.0	52.7	64.8	80.7	84.3	58.2	
Estimated infants of $<32$ weeks <sup>‡</sup> or $<1500$ g <sup>§</sup> born in the country (estimated from national birth data), <sup>†</sup> n	12 925 <sup>‡</sup>	12 894 <sup>‡</sup>	6270 <sup>§</sup>	30 094 <sup>§</sup>	17 518 <sup>§</sup>	3195 <sup>‡</sup>	2595 <sup>§</sup>	21 606 <sup>‡</sup>	107 097
Infants with birth weight $<1500$ g registered in database, n	11 529	10 909	6082	17 653	11 352	2668	2486	12 899	75 578
Infants excluded									
Major congenital anomalies, n (%)	482 (4.2)	817 (7.5)	184 (3)	1174 (6.7)	759 (6.7)	74 (2.8)	70 (2.8)	232 (1.8)	3792 (5)
Admitted at $>36$ weeks' gestation, n (%)	21 (0.2)	114 (1)	11 (0.2)	75 (0.4)	258 (2.3)	3 (0.1)	6 (0.2)	31 (0.2)	519 (0.7)
Gestational age $<24^0$ weeks, n (%)	215 (1.9)	216 (2)	178 (2.9)	992 (5.6)	177 (1.6)	162 (6.1)	9 (0.4)	435 (3.4)	2384 (3.2)
Gestational age $>31^6$ weeks, n (%)	1168 (10.1)	1096 (10)	1228 (20.2)	2804 (15.9)	2095 (18.5)	245 (9.2)	367 (14.8)	1876 (14.5)	10 879 (14.4)
Study infants, n (%)	9643 (84)	8666 (79)	4481 (74)	12 608 (71)	8063 (71)	2184 (82)	2034 (82)	10 325 (80)	58 004 (77)

\*Data from 2008 to 2010.

<sup>†</sup>Data estimated from national birth registries.

<sup>‡</sup>For networks that collected data on all preterm neonates.

<sup>§</sup>For networks that collected data based on birth weight  $<1500$  g.

**Table III.** Characteristics of study infants and outcomes from the 8 iNeo of Neonates contributors

Population	ANZNN	CNN	INN	NRNJ	SEN1500	SNQ	SwissNeoNet	UKNC	Total
Country(ies)	Australia and New Zealand	Canada	Israel	Japan	Spain	Sweden	Switzerland	United Kingdom	
Study infants, N	9643	8666	4481	12 608	8063	2184	2034	10 325	58 004
Characteristics*									
Gestational age, wk <sup>†</sup>	27.8 (2.1)	27.7 (2.0)	28.1 (2.1)	27.8 (2.1)	28.1 (2.1)	27.8 (2.1)	28.0 (2.0)	27.8 (2.1)	27.9 (2.1)
Birth weight, g <sup>†</sup>	1062 (263)	1049 (259)	1066 (264)	1008 (279)	1061 (263)	1059 (274)	1052 (271)	1046 (260)	1045 (267)
Birth weight z score <sup>†</sup> (missing data = 36)	-0.18 (0.95)	-0.26 (0.84)	-0.26 (0.78)	-0.26 (0.94)	-0.32 (0.99)	-0.27 (0.85)	-0.30 (0.81)	-0.30 (0.92)	-0.27 (0.91)
Multiple birth <sup>‡</sup> (missing data = 24)	2964 (31)	2656 (31)	1891 (42)	3013 (24)	2692 (33)	641 (29)	685 (34)	2912 (28)	17 454 (30)
Male sex (missing data = 35)	4951 (51)	4519 (52)	2332 (52)	6592 (52)	4210 (52)	1177 (54)	1051 (52)	5313 (52)	30 145 (52)
Antenatal steroid use <sup>‡</sup> (missing data = 1523)	8635 (90)	7310 (84)	3382 (76)	6233 (49)	6802 (84)	1782 (82)	1812 (89)	8461 (82)	44 417 (77)
Cesarean birth <sup>‡</sup> (missing data = 213)	6135 (64)	5407 (62)	3285 (73)	9660 (77)	5399 (67)	1564 (72)	1717 (84)	4838 (47)	38 005 (66)
Outcomes									
Composite outcome <sup>‡</sup> (missing data = 2111)	3193 (34)	3139 (40)	1462 (33)	4575 (37)	2877 (38)	663 (30)	532 (26)	4241 (42)	20 682 (37)
Mortality <sup>‡</sup> (missing data = 0)	820 (9)	832 (10)	622 (14)	635 (5)	1366 (17)	167 (8)	208 (10)	1065 (10)	5715 (10)
Bronchopulmonary dysplasia <sup>‡,§</sup> (missing data = 5938)	2096 (24)	1893 (25)	546 (14)	2293 (19)	971 (15)	409 (20)	231 (13)	2999 (32)	11 438 (22)
Grade $\geq$ 3 peri-Intraventricular hemorrhage <sup>‡,¶</sup> (missing data = 2782)	525 (6)	801 (10)	531 (12)	534 (4)	764 (10)	115 (5)	152 (8)	578 (6)	4000 (7)
Cystic periventricular leukomalacia <sup>‡,¶</sup> (missing data = 2215)	239 (3)	469 (6)	218 (5)	487 (4)	487 (6)	54 (2)	49 (2)	157 (2)	2160 (4)
Grade $\geq$ 3 peri- Intraventricular hemorrhage/cystic periventricular leukomalacia <sup>‡,¶</sup> (missing data = 2760)	654 (7)	872 (11)	649 (15)	956 (8)	1103 (15)	154 (7)	187 (9)	692 (7)	5267 (10)
Retinopathy treatment <sup>‡,¶</sup> (missing data = 54)	292 (3)	351 (4)	153 (3)	2044 (16)	332 (4)	86 (4)	34 (2)	229 (2)	3521 (6)

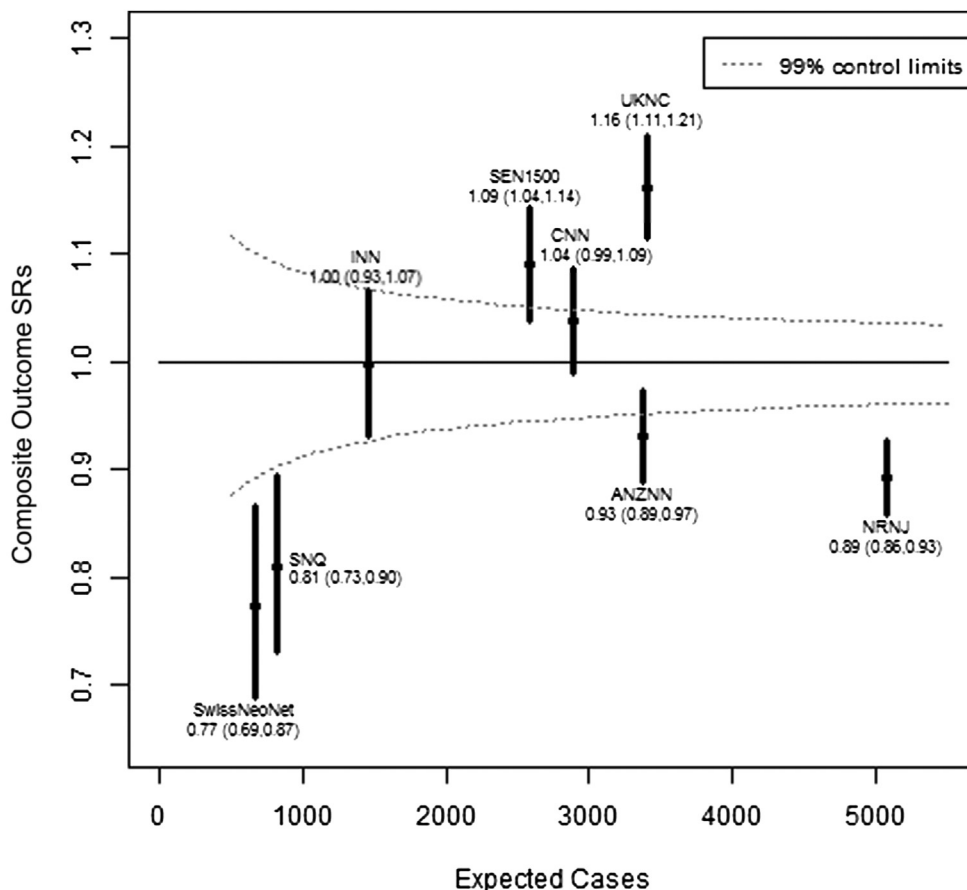
\*All numbers are expressed as n (%) except gestational age, birth weight, and birth weight z score, which are expressed as mean (SD).

<sup>†</sup>P value <.0001 evaluated with the ANOVA F-test.

<sup>‡</sup>P value <.0001 evaluated with the Pearson  $\chi^2$  test; Number with missing data on composite outcome is lower than individual outcomes because a patient may have developed any of the components of composite outcome and would be counted as composite outcome is ascertained.

<sup>§</sup>Denominator used to calculate percentages excluded infants who died at <37 weeks postmenstrual age or had missing bronchopulmonary dysplasia data.

<sup>¶</sup>Denominator used to calculate percentages excluded infants with missing data for the respective morbidity.



**Figure.** SRs comparing the composite outcome of each network to all other networks combined. Vertical bars are the estimated 99% CIs of the SR. The dotted curves represent the 99% control limits expected under the null hypothesis of similar outcome rates (SR = 1). ANZNN, Australian and New Zealand Neonatal Network; CNN, Canadian Neonatal Network; INN, Israel Neonatal Network; NRNJ, Neonatal Research Network of Japan; SEN1500, Spanish Neonatal Network; SNQ, Swedish Neonatal Quality Register; SwissNeoNet, Swiss Neonatal Network.

population characteristics, case definitions, ascertainment, data quality and reliability, and care processes.

The main strength of the iNeo of Neonates collaboration is that it contains the largest geographical cohort of very pre-term and very low birth weight neonates with individual patient data. Data spanning a 4-year time frame provided a

robust sample and minimized fluctuations as the result of annual variations. The large sample size allowed us to perform both pairwise and general (1 network vs entire sample) comparisons. These results may provide a platform for planning activities targeted to further improve data collection systems, standardize neonatal-perinatal terminology,

**Table V.** aORs (99.8% CI after Bonferroni correction for multiple testing) comparing the composite outcome pairwise between the 8 iNeo of Neonates contributors (row odds vs column odds)\*

Networks	ANZNN	CNN	INN	NRNJ	SEN1500	SNQ	SwissNeoNet	UKNC
ANZNN	1.00	0.83 (0.74-0.93)	0.90 (0.78-1.04)	1.04 (0.93-1.16)	0.76 (0.67-0.85)	1.37 (1.14-1.65)	1.44 (1.18-1.77)	0.67 (0.60-0.75)
CNN	1.20 (1.07-1.35)	1.00	1.09 (0.94-1.26)	1.26 (1.12-1.41)	0.91 (0.81-1.03)	1.65 (1.37-1.99)	1.74 (1.42-2.13)	0.81 (0.72-0.91)
INN	1.11 (0.96-1.28)	0.92 (0.80-1.06)	1.00	1.16 (1.01-1.33)	0.84 (0.72-0.97)	1.52 (1.24-1.87)	1.60 (1.28-1.99)	0.75 (0.65-0.86)
NRNJ	0.96 (0.86-1.07)	0.80 (0.71-0.89)	0.87 (0.75-0.99)	1.00	0.73 (0.65-0.82)	1.32 (1.10-1.58)	1.39 (1.13-1.69)	0.65 (0.58-0.72)
SEN1500	1.32 (1.17-1.48)	1.10 (0.97-1.24)	1.19 (1.03-1.38)	1.38 (1.23-1.54)	1.00	1.81 (1.50-2.19)	1.91 (1.55-2.34)	0.89 (0.79-0.997)
SNQ	0.73 (0.61-0.88)	0.61 (0.50-0.73)	0.66 (0.54-0.81)	0.76 (0.63-0.91)	0.55 (0.46-0.67)	1.00	1.05 (0.82-1.35)	0.49 (0.41-0.59)
SwissNeoNet	0.69 (0.57-0.85)	0.58 (0.47-0.71)	0.63 (0.50-0.78)	0.72 (0.59-0.88)	0.53 (0.43-0.64)	0.95 (0.74-1.22)	1.00	0.47 (0.38-0.57)
UKNC	1.49 (1.33-1.66)	1.24 (1.10-1.38)	1.34 (1.17-1.55)	1.55 (1.39-1.73)	1.13 (1.00-1.26)	2.04 (1.69-2.45)	2.15 (1.76-2.62)	1.00

\*Multivariable logistic regression analyses included country-specific fixed effects and were adjusted for: gestational age (linear), birth weight z score (linear and quadratic), multiple birth, sex, antenatal steroids, and the interaction between birth weight z score and multiple birth.

and explore practice variations between participating countries.

We acknowledge the limitations of our study. Each country was considered as a single entity, but countries provided data from between 12 and 104 different neonatal units. In some countries (Israel, Sweden, Switzerland, and the United Kingdom) data from all levels of neonatal units regardless of designation were included, whereas for the remaining countries, only data from tertiary units were included. We would like to indicate that the participating sites were an unbiased sample of the total units in the country (eg, included based on consent for participation [United Kingdom], and unselected based on type of care provision [Japan for treatment of retinopathy of prematurity]). The number of infants admitted to sites participating in iNeo of Neonates represented 53.5%-95.8% of those born at 24<sup>0</sup> to 31<sup>6</sup> weeks' gestation and 52.7%-84.3% of those weighing <1500 g at birth in included collaborators (Table I). Note that some of the infants are missing because of the combined study cut-off at 31<sup>6</sup> weeks' gestation and 1500 g birth weight. However, the population studied was a relatively homogeneous sample as evident from mean birth weight, gestational age, and Z scores for birth weight, which are very similar between participants. In some countries (Australia/New Zealand and Canada), infants who died before transfer or during resuscitation or who were not resuscitated were not captured. Some of the variation also may be attributable to differences in guidelines for resuscitation and ongoing management of extremely low-gestational age neonates. To avoid variations in outcome resulting from differing practices for infants born at <24 weeks' gestation,<sup>30</sup> these neonates were excluded. Despite these efforts, it is possible that selection bias may have been introduced. Variation at greater gestations reflects that a larger proportion of these neonates were managed in neonatal units that provide step-down care. Thus the variation in population coverage may have influenced the study results; however, included units were a representative sample for each participant.

Inconsistency in the definitions of morbidity in the composite outcome also may have contributed to variations. Minor differences in outcome definitions and data collection were solved by generalizing classifications; however, this difficulty highlights the need for standardized terminology in perinatology. Although all outcomes included in the composite outcome may be influenced by practices, bronchopulmonary dysplasia arguably has a high level of subjectivity and is influenced by both care practices and case definitions, data on which were not available. In some countries, neonates were transferred to step-down units before being discharged home, which may affect mortality rate. In addition, all morbidity outcomes interact with mortality; therefore, we elected to use a composite outcome to reduce competing outcomes bias. The high rate of retinopathy treatment in Japan reflects earlier treatment at a lower threshold. We did not include infants with NEC who received surgery in our composite outcome as the distinction of NEC from spontaneous intestinal perforation was not possible from the data we had

available. We also did not include sepsis, because practice varied with regard to designation of early and late onset sepsis, and case-definitions.

To some extent, the observed variations also may be attributable to differences in population characteristics. In Japan, the use of prenatal steroids is increasing gradually but remained low during the study period because it was not approved in Japan until 2009.<sup>31</sup> A further possible confounding factor that often is cited is ethnicity or genetic background. However, ethnicity is a term that is poorly defined and for legal, ethical, and practical reasons, data were not available for all networks. The relative homogeneity of the population in countries like Japan may influence some of the outcomes studied, and this will need to be examined further.

In addition to issues related to data quality, validity, and reliability, data comparability is of concern when independent databases are combined. All participating networks have ongoing data validation and reliability control methods. Thus, amalgamating data in the larger context of iNeo of Neonates could be viewed with similar scrutiny.

Internationally, there are well-known variations in processes of neonatal care. For example, noninvasive respiratory support is used more frequently in Europe and Australia/New Zealand; human milk ingestion is greater and commencement of enteral feeding is earlier in Japan, Scandinavia, and the United Kingdom; and the use of echocardiography for management of hemodynamic status is routine in Japan.<sup>32</sup> Marked variations in health service delivery also are present. For example, the proportion of outborn infants is lower in Australia compared with Canada and the use of respiratory therapists is practically nonexistent in Europe and Australia/New Zealand. The variable experience of staff across work shifts also may be influential.<sup>33</sup> Furthermore, the characteristics of neonatal units (size, bed capacity; nurse: patient; occupancy rates) are likely to differ with different impacts,<sup>34,35</sup> although this remains to be quantified. We acknowledge that variation between units within a network may be similar, if not greater than that we identified between networks. In addition, there are differences both in the types and methods of administration of interventions possibly due to different interpretations of evidence or lack of local evidence to change practice,<sup>36</sup> as well as practitioner preferences.

Our results are in some aspects similar, but also novel and different from previous reports. Draper et al<sup>37</sup> reported variation (75%-92%) in the survival of preterm infants in 10 regions in Europe. Similarly, in the European Health Care Outcomes, Performance and Efficiency (EuroHOPE) study,<sup>38</sup> variation in mortality and length of stay were reported in 7 European countries; however, mortality, considered a robust measure of care delivery in other situations, is not a straightforward measure in neonatology because it may be influenced by fetal death rate, death in delivery room, guidelines of unit, region, and nation, as well as by cultural and ethical considerations. Draper et al<sup>39</sup> highlighted the importance of the denominator by identifying discrepancy

in the recording of live births, especially at very low gestation. Previous studies have not compared neonatal morbidities between such a large set of nationally representative samples.

Most of the countries in this collaboration have publicly funded national health systems. Similar comparisons with the country/state level data from the US and other countries without such systems will need to be conducted with extreme caution as differences are likely in the baseline population, access to care, availability and access to prenatal ultrasound scan, and receipt of antenatal care including steroids in addition to the organization of between and within hospital care provision. Such comparisons, however, are of value because differences in the care of pregnant women, neonatal transport systems, and regionalization of care, and postnatal management are key avenues for collaborative learning and improvement.<sup>40</sup>

Notwithstanding the limitations, our results have implications for physicians, policy-makers, administrators, communities, and the public at large. Participation in international multicenter clinical trials and international benchmarking/quality improvement activities is increasingly considered an index of quality of care. Advancement in the latter requires that health care providers, regulators, and the public embrace a culture of openness and willingness to learn, and that sufficient care and attention is paid to capturing reliable data. Finally, detailed mapping of management practices in different units and childhood follow-up of these infants will provide important insights into the impact of neonatal outcome variation on later life. ■

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## Appendix 2

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**Table IV.** Number of missing data for characteristics and outcomes

Population characteristics and outcome		ANZNN	CNN	INN	NRNJ	SEN1500	SNQ	SwissNeoNet	UKNC	Total
Study infants, N		9643	8666	4481	12 608	8063	2184	2034	10 325	58 004
Characteristics*										
Birth weight z score <sup>†</sup>	Missing	9	15	0	3	0	2	0	7	36
Multiple birth <sup>‡</sup>	Missing	9	12	0	0	0	0	0	3	24
Male sex	Missing	9	15	0	3	0	0	1	7	35
Antenatal steroid use <sup>‡</sup>	Missing	124	327	3	126	171	0	56	716	1523
Cesarean birth <sup>‡</sup>	Missing	47	89	0	77	0	0	0	0	213
Outcomes										
Composite outcome <sup>‡</sup>	Missing	362	832	83	123	396	2	24	290	2112
Bronchopulmonary dysplasia <sup>‡,§</sup>	Missing	826	1020	575	656	1500	155	206	1000	5938
Grade $\geq 3$ peri- intraventricular hemorrhage/cystic periventricular leukomalacia <sup>‡,¶</sup>	Missing	440	959	201	110	571	2	18	459	2760
Retinopathy treatment <sup>‡,¶</sup>	Missing	0	0	0	0	0	0	20	34	54

ANZNN, Australian and New Zealand Neonatal Network; CNN, Canadian Neonatal Network; INN, Israel Neonatal Network; NRNJ, Neonatal Research Network of Japan; SEN1500, Spanish Neonatal Network; SNQ, Swedish Neonatal Quality Register; SwissNeoNet, Swiss Neonatal Network.

\*All numbers are expressed as n (%) except gestational age, birth weight, and birth weight z score, which are expressed as mean (SD).

<sup>†</sup>P value < .0001 evaluated with the ANOVA F-test.

<sup>‡</sup>P value < .0001 evaluated with the Pearson  $\chi^2$  test; Number with missing data on composite outcome is lower than individual outcomes because a patient may have developed any of the components of composite outcome and would be counted as composite outcome is ascertained.

<sup>§</sup>Denominator used to calculate percentages excluded infants who died at <37 weeks postmenstrual age or had missing bronchopulmonary dysplasia data.

<sup>¶</sup>Denominator used to calculate percentages excluded infants with missing data for the respective morbidity.

**Table VI.** Parameter (SE estimates) predictors included in multivariable logistic models for the composite outcome, derived by excluding each contributor country, and subsequently used to compute the expected number of events for that contributor country

Model parameters	ANZNN	CNN	INN	NRNJ	SEN1500	SNQ	SwissNeoNet	UKNC
Predictors, estimate (SD)								
Gestational age	-0.60 (0.01)	-0.59 (0.01)	-0.58 (0.01)	-0.64 (0.01)	-0.61 (0.01)	-0.60 (0.01)	-0.59 (0.01)	-0.59 (0.01)
BW z score								
Linear	0.57 (0.25)	0.36 (0.24)	0.37 (0.24)	0.26 (0.27)	0.57 (0.26)	0.44 (0.23)	0.39 (0.23)	0.33 (0.25)
Quadratic	0.12 (0.01)	0.12 (0.01)	0.12 (0.01)	0.12 (0.01)	0.13 (0.01)	0.11 (0.01)	0.12 (0.01)	0.12 (0.01)
Multiple birth	0.29 (0.04)	0.26 (0.04)	0.18 (0.04)	0.14 (0.04)	0.20 (0.04)	0.22 (0.04)	0.21 (0.04)	0.23 (0.04)
Sex (male vs female)	0.15 (0.03)	0.17 (0.03)	0.18 (0.03)	0.19 (0.03)	0.22 (0.04)	0.18 (0.03)	0.18 (0.03)	0.18 (0.03)
Antenatal steroids	0.17 (0.04)	0.15 (0.04)	0.18 (0.04)	0.76 (0.04)	0.24 (0.04)	0.22 (0.04)	0.24 (0.04)	0.20 (0.04)
Cesarean delivery	-0.24 (0.04)	-0.23 (0.04)	-0.26 (0.03)	-0.02 (0.04)	-0.24 (0.04)	-0.23 (0.03)	-0.23 (0.03)	-0.23 (0.04)
BW z score $\times$ multiple birth interaction	-0.08 (0.04)	-0.07 (0.04)	-0.09 (0.04)	-0.10 (0.04)	-0.07 (0.04)	-0.08 (0.03)	-0.09 (0.03)	-0.09 (0.04)
BW z score $\times$ GA interaction	-0.03 (0.01)	-0.02 (0.01)	-0.02 (0.01)	-0.02 (0.01)	-0.03 (0.01)	-0.03 (0.01)	-0.02 (0.01)	-0.02 (0.01)
Model fit statistics								
C-statistic								
Development data*	0.81	0.81	0.80	0.82	0.85	0.81	0.85	0.81
Prediction data <sup>†</sup>	0.82	0.82	0.86	0.80	0.83	0.80	0.85	0.81
R <sup>2</sup>								
Development data*	0.24	0.23	0.22	0.27	0.23	0.24	0.23	0.23
Prediction data <sup>†</sup>	0.24	0.26	0.38	0.19	0.33	0.21	0.32	0.24
H-L test (P value)								
Development data*	0.04	0.27	0.14	0.01	0.06	0.06	0.02	0.02
Prediction data <sup>†</sup>	0.19	0.17	<0.01	0.18	0.75	0.31	0.03	0.50

BW, birth weight; GA, gestational age; H-L, Hosmer-Lemeshow.

\*The development data included all other contributor countries except for the country for which the model was used to estimate the expected number of events.

<sup>†</sup>The prediction data included only the one contributor country that was excluded from model development.